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B/91

**CERTIFICATE OF HAND DELIVERY**  
I hereby certify that the enclosed correspondence is being hand delivered to U.S. Patent and Trademark Office,  
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Date: 9/22/04 (Name: Gustavo Siller, Jr.) Signature: [Signature]

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Appln. of: Akira Nakano et al.  
Appln. No.: 10/043,739  
Filed: January 9, 2002  
For: Plasma Processing Apparatus And  
System, Performance  
Validation System And Inspection  
Method Therefor

Examiner: MaGee, Christopher  
Art Unit: 2653

Attorney Docket No: 9281-4241

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

**TRANSMITTAL**

Sir:

Attached is/are:

- ☒ Transmittal Letter (in duplicate); Supplemental Information Disclosure Statement; PTO Form 1449; Copy of Office Action dated July 14, 2004 for Japanese Patent Application No. 2001-003882; Copy of cited references
- ☒ Return Receipt Postcard

Fee calculation:

- ☐ No additional fee is required.
- ☐ An extension fee in an amount of \$\_\_\_\_\_ for a \_\_\_\_\_-month extension of time under 37 C.F.R. § 1.136(a).
- ☐ A petition or processing fee in an amount of \$\_\_\_\_\_ under 37 C.F.R. § 1.17(\_\_\_\_\_).
- ☐ An additional filing fee has been calculated as shown below:

			Small Entity		Not a Small Entity		Or		
	Claims Remaining After Amendment	Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	Rate		Add'l Fee	
Total	Minus			x \$9=		X \$18=			
Indep.	Minus			x 43=		X \$86=			
First Presentation of Multiple Dep. Claim				+\$145=		+ \$290=			
				Total	\$	Total		\$	

Fee payment:

- ☐ A check in the amount of \$\_\_\_\_\_ is enclosed.
- ☐ Please charge Deposit Account No. 23-1925 in the amount of \$\_\_\_\_\_. A copy of this Transmittal is enclosed for this purpose.
- ☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Respectfully submitted,

9/22/04  
Date

[Signature]  
Gustavo Siller, Jr. (Reg. No. 32,305)



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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(i), Applicants hereby cite the following reference(s):

No.	Date of Publication
2000-182341	06/2000
2000-348451	12/2000
7-285219	10/1995
8-45994	02/1996
6-52145	07/1994
58-138043	08/1983
11-67806	03/1999
2000-286304	10/2000
9-223721	08/1997
2000-223513	08/2000
11-330125	11/1999
2000-357377	12/2000
WO 99/06993	02/1999

For the Examiner's convenience, Applicants are enclosing Form PTO-1449 (one sheet), along with a copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2). Applicants respectfully request that the citation(s) be placed into the file wrapper of the application. Also enclosed is a copy of a Japanese Office Action that issued on July 14, 2004 for Japanese Patent Application No. 2001-003882.

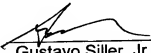
By submitting this Statement, Applicants are attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

Applicants have calculated no fee to be due in connection with the filing of this Statement. However, the Director is authorized to charge any fee deficiency associated with the filing of this Statement to a deposit account, as authorized in the Transmittal accompanying this Statement.

Respectfully submitted,

Date

9/22/04

  
Gustavo Siller, Jr. (Reg. No.32,305)



FORM PTO-1449

**LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT**

(use several sheets if necessary)

SERIAL NO.

10/043,739

CASE NO.

9281-4241

FILING DATE

1/9/2002

GROUP ART UNIT

2653

APPLICANTS: Masayoshi Nakagawa et al.

**REFERENCE DESIGNATION U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES	NO
	B1	2000-182341	06/2000	Japan		X	
	B2	2000-348451	12/2000	Japan		X	
	B3	7-285219	10/1995	Japan		X	
	B4	8-45994	02/1996	Japan		X	
	B5	6-52145	07/1994	Japan		X	
	B6	58-138043	08/1983	Japan		X (Claim)	
	B7	11-67806	03/1999	Japan		X	
	B8	2000-286304	10/2000	Japan		X	
	B9	9-223721	08/1997	Japan		X	
	B10	2000-223513	08/2000	Japan		X	
	B11	11-330125	11/1999	Japan		X	
	B12	2000-357377	12/2000	Japan		X	
	B13	WO 99/06993	02/1999	PCT		X	

EXAMINER  
INITIAL

**OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)**

B14 Japanese Office Action dated July 14, 2004 for Japanese Patent Application No. 2001-003882


EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.